



17 November 2022

Dear Valued Client,

### Disclosure on Climate Related Risk Information

Pursuant to the paragraph 6.2A of the Fund Manager Code of Conduct with the Securities and Futures Commission (the “FMCC”), Goldlink Asset Management (Asia) Limited (the “Company”) has to make a disclosure of the information in relation to the framework of the Company to govern its management of climate-related risks.

#### 1. Governance

##### 1.1 Board

The Board of Directors (the “Board”) is the most senior decision-making body within the Company. Board members collectively oversee the Company’s climate-related commitments, including the monitoring of climate risk management progress and approval of relevant frameworks and disclosures. The Board also appoints its climate lead, management team, to execute our climate strategy and policies.

Cognizant of the potential impacts of climate on the Company’s business strategy and management approach, the Board has put climate issues on the annual board meeting agenda to review and discuss climate-related investment considerations escalated from the investment and risk teams.

Meanwhile, the Board also commits to devoting sufficient resources to climate risk management and promoting a climate-informed risk culture.

##### 1.2 Management Committee

The Management Committee, comprising the Chairman, Chief Executive Officer, and other Senior Management, is tasked with managing and implementing climate-related issues. The committee meets at least annually to discuss internal developments on climate-related issues and progress against climate-related goals while informing the Board of key updates.

Management Committee members work to integrate climate into our various lines of business. Currently, both investment- and risk-related teams report to the Committee regarding the ongoing management and monitoring of material climate-related issues.

#### 2. Investment and Risk Management

The investment function is responsible for integrating climate considerations into investment processes, including factoring identified material climate-related risks in the investment strategies, utilizing climate data in research and analysis process, and assessing the potential impact of climate risks on key sectors and regions.



The risk management function reviews the climate risk framework at least annually. The function identifies, assesses, manages, and monitors relevant and material climate-related risks. Material climate-related risks will be discussed during internal reviews.

The Compliance Function monitors climate-related regulations to conform with ongoing policy changes. The function also engages external experts for climate-related consultancy to upskill climate awareness whenever necessary.

### 3. Exceptions

Notwithstanding the foregoing, climate-related risks may be assessed to be irrelevant to certain types of investment strategies or the time horizon of the investments. This may include, but is not limited to:

- (i) passive funds which are designed to replicate an index;
- (ii) funds that invest in derivative instruments where climate risks are not considered due to the time horizon of the investment or the lack of alternative liquid investments;
- (iii) products managed in accordance with specific client objectives which preclude following aspects of this policy; and
- (iv) investments in funds where investment management is delegated to an entity outside of the Company. Where we invest in funds managed by third party managers, those managers may apply their own methods of managing climate-related risks. Managers in jurisdictions outside of Hong Kong may not be subject to the same requirement.

If you have any queries, please feel free to contact our customer services hotline at +852 2995 9763. Thank you for your continuous support to us.

Yours faithfully,  
Goldlink Asset Management (Asia) Limited